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Effects of Supercritical CO₂ on Micropores in Bituminous and Anthracite Coal

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Abstract: The effects of supercritical CO₂ (ScCO₂) on coal micropores play a critical role in determining the CO₂ capacity of coalbeds. To investigate the effects of ScCO₂ on micropores in coals of different ranks under a range of temperature and pressure conditions, CO₂ sequestration processes are replicated using a ScCO₂ geochemical reactor. Four samples of coal of different ranks are exposed to ScCO₂ and water for 240 h at 62.5 °C and 15 MPa, and CO₂ adsorption tests, Fourier transform infrared spectroscopy, and X-ray diffraction are performed to determine the volume and pore size distribution of the micropores, the types and contents of the organic groups, and the aromatic crystallite structures of the coal samples before and after the ScCO₂-H₂O treatment. The influence of the chemical structure and the organic groups of the coal on the coal micropores is then studied. Micropores with widths <0.46 nm are mainly associated with the inter-layer spacing of the aromatic layers. Swelling caused by ScCO₂ detaches heterocycles and non-condensed polynuclear aromatics of high-volatile bituminous coal, resulting in slight increases in the volume of micropores with widths <0.46 nm. The falling off of cross-links with low bond energies caused by swelling and the formation of C_{Ar}-C_{Ar} cross-links between the aromatic layers caused by polyaddition reactions together cause a decrease in the volume of micropores with widths <0.46 nm in semi-anthracite and anthracite coal. Micropores with widths >0.46 nm are pores in the macromolecular structure of coal and are known as intermolecular pores, and their volumes are determined by the directional arrangement of aromatic crystallites and the aliphatic hydrocarbon chain length. The broken bonds caused by ScCO₂ in high-volatile bituminous coal form new aliphatic or aromatic compounds via addition and polyaddition reactions. These processes increase the directional arrangement of aromatic crystallites, resulting in a decrease in the volume of micropores with widths >0.46 nm. As the coal rank increases, the aliphatic hydrocarbon chain length gives priority to the macromolecular structure of coal after the ScCO₂ treatment, resulting in an

increase in the volume of micropores with widths >0.46 nm in semi-anthracite and anthracite coal.

Keywords: Pore volume; Specific surface area; Organic group; Aromatic crystallite; Swelling; Coal rank

1. Introduction

CO₂ capture and geological storage are effective approaches for reducing greenhouse gas emissions. Deep coal seams are important geological bodies where CO₂ can potentially be stored [1]. CO₂ geological storage-enhanced coalbed methane recovery (CO₂-ECBM) can be used to enhance coalbed methane (CBM) development and reduce CO₂ emissions by effectively displacing CH₄ using CO₂, and this approach has attracted considerable attention from many researchers [2,3]. At in situ reservoir temperatures and pressures corresponding to burial depths >800 m (e.g., $T_c=31.06$ °C, $P_c=7.38$ MPa), CO₂ is likely to be present in a supercritical state [4]. The effects of supercritical CO₂ (ScCO₂) on the pores and fractures found in coal may lead to changes in the permeability and adsorption capacity of coal [5-7].

In our previous paper published in *Fuel* in 2018 [12], the effects of ScCO₂ on mesopores (2-50 nm in width), macropores (>50 nm in width) and fractures in coal with different ranks and the connectivity of pores and fractures within coal were studied. These pores and fractures are affected by changes in the inorganic minerals in coal (e.g., calcite, dolomite, and magnesite) caused by the ScCO₂-H₂O system [12]. Moreover, ScCO₂ displays good diffusivity and dissolving capacity; thus, it can dissolve some of the organic groups found on the inner surfaces of the pores and fractures in coal during the migration process [13-15]. This dissolution changes the physical and chemical structure of the coal and has a great influence on the micropores and CO₂ storage capacity of coal. The interactions between ScCO₂ and coal organic groups are affected by temperature, pressure, pore and fracture widths, and coal rank, among other factors [13,16,17]. At low pressures (7-10 MPa), ScCO₂ can extract limited amounts of polar hydrocarbons and lipids, e.g., alkanes and polycyclic aromatic hydrocarbons of relatively low molecular weights, esters, ethers, lactones, and epoxy compounds [18]. The effects of ScCO₂ on polar functional groups are weak [4]. At high pressures (>10 MPa), ScCO₂ can extract some aliphatic hydrocarbons, hydroxyl groups and other oxygen-containing groups and causes changes in the macromolecular structure of the coal and the destruction of the microcrystalline structure [17,19-22].

The interactions between ScCO₂ and coal organic groups mainly affect micropores, which have widths <2 nm [9,12,23,24]. Coal micropores are the primary sites for CBM adsorption and CO₂ storage. The pore volume, specific surface area (SSA), and pore size distribution of coal micropores are of great significance for CO₂-ECBM [25,26]. In addition, ScCO₂ has been suggested to decrease the volume percent of micropores in lignite/brown

59 coal and increase the volume percent and SSA of micropores in bituminous coal and anthracite [27-30]. Other
60 scientists have suggested that ScCO₂ can increase the volume percent and SSA of micropores in lignite/brown
61 coal and bituminous coal [27-30], whereas others have suggested that ScCO₂ can only break sub-agents in coal
62 structure units but are unable to break the spatial structures of coal formed by chemical cross-links [31,32].
63 Therefore, ScCO₂ can change the pore size distribution in coal slightly. The interactions between ScCO₂ and coal
64 organic groups can loosen the surfaces of coal particles and lead to macromolecular rearrangements and obvious
65 plastification within coal, and these processes can enhance the porosity, permeability, and adsorption capacity of
66 coal [5,33,34]. In conclusion, the effects of ScCO₂ on coal porosity, permeability, and adsorption capacity have
67 been generally recognized. However, the effects of ScCO₂ on micropores in coal with different ranks and their
68 mechanisms are still controversial, which limits our understanding of the adsorption capacity of coal and the
69 efficiency of CO₂ displacement of CH₄.

70 In this paper, an integrated approach that combines CO₂ adsorption tests, Fourier transform infrared
71 spectroscopy (FTIR), and X-ray diffraction (XRD) is employed to describe the effects of ScCO₂ on the
72 micropores, chemical structures, and organic groups of bituminous coal and anthracite coal at 62.5 °C and 15 MPa.
73 The effects and mechanisms of the chemical structures and organic groups on micropores are further discussed.
74 This study is a continuation of our previous work published in *Fuel* in 2018 [12], and it aims to provide a better
75 understanding of how ScCO₂ affects the micropores in coal with different ranks. The results can guide estimates
76 of the CO₂ storage capacity in coal and the optimization of CO₂-ECBM engineering studies.

77 **2. Samples and Methodology**

78 **2.1. Samples**

79 Four samples of coal of different ranks were collected in China. High-volatile bituminous coal was collected
80 from the Yangzhuang Mine, low-volatile bituminous coal was collected from the Xinyuan Mine, semi-anthracite
81 coal was collected from the Yuwu Mine, and anthracite coal was collected from the Sihe Mine. These samples are
82 named Coal #1 to Coal #4 (Table 1). Coal #1 was collected from coal seam #9 in the Taiyuan Formation in the
83 Bohaiwan Basin, and Coals #2-#4 were collected from coal seam #3 of the Shanxi Formation in the southern
84 Qinshui Basin. The coal samples were systematically collected from the working faces of the coal mines. To
85 prevent further oxidization, the coal samples were wrapped in absorbent paper, hermetically sealed in plastic bags
86 and stored at 5 °C after the samples were collected. The key properties of the samples are shown in Table 1.

87 **2.2. ScCO₂-H₂O treatment**

ScCO₂-H₂O treatments were performed to replicate a burial depth of 1500 m. The temperature and pressure at this burial depth (62.5 °C and 15 MPa, respectively) were calculated from the temperature and depth of the sub-surface constant temperature zone, the average geothermal gradient, and the average pressure gradient at the sampling location. The coal samples chosen for the ScCO₂-H₂O treatment consisted of 200 g of coal particles sieved to a grain size range of 4-8 mm. The details of the high-pressure reactor, coal grain size, and experimental duration used in the ScCO₂-H₂O treatment can be found in our previous paper [12]. After the ScCO₂-H₂O treatment, the coal samples were vacuum dried at 50 °C for 24 h and then crushed and sieved to different grain sizes for the CO₂ adsorption test, FTIR spectroscopy, and XRD analysis.

Table 1 Properties of the coals used

Sample	Sampling location	<i>Ro, max, %</i>	Proximate, wt. %					Ultimate, wt. %		
			<i>M_{ad}</i>	<i>A_{ad}</i>	<i>V_{daf}</i>	<i>FC_{ad}</i>	<i>O_{daf}</i>	<i>C_{daf}</i>	<i>H_{daf}</i>	<i>N_{daf}</i>
#1	Yangzhuang Mine	0.72	2.29	5.49	38.72	57.91	7.99	83.28	5.27	1.22
#2	Xinyuan Mine	1.81	0.81	5.35	15.26	80.20	9.30	80.32	4.43	1.14
#3	Yuwu Mine	2.19	1.10	11.98	13.44	76.19	2.44	91.73	4.12	2.44
#4	Sihe Mine	3.33	1.48	13.12	6.32	81.39	2.98	93.45	2.15	1.00

Notes: *Ro, max*, the mean maximum reflectance values of vitrinite; wt. %, weight percent; *M_{ad}*, moisture; *A_{ad}*, ash yield; *V_{daf}*, volatile matter; *FC_{ad}*, fixed carbon; *O_{daf}*, oxygen; *C_{daf}*, carbon; *H_{daf}*, hydrogen; *N_{daf}*, nitrogen; ad, air-dried basis; and daf, dry ash-free basis.

2.3 CO₂ adsorption test

This study uses a pore structure classification system proposed by the International Union of Pure and Applied Chemistry (IUPAC). Under this system, pores are divided into micropores (<2 nm in width), mesopores (2-50 nm in width) and macropores (>50 nm in width) [35].

The volume of the micropores within the coal samples and the SSA of the coal samples is measured using the CO₂ adsorption method, which is performed with an Autosorb iQ2 instrument made by Quantachrome Instruments, USA. The measurement procedures followed ISO 15901-2:2006 and ISO 15901-3:2007. Coal samples with a 20- to 80-mesh particle size (0.20-0.85 mm) were used in the CO₂ adsorption experiments, and CO₂ was used as the adsorbate at an analysis bath temperature of 273.0 K (0 °C). CO₂ adsorption isotherms are obtained at a relative pressure (*P/P₀*) range of 4.9624×10⁻⁴ to 2.8697×10⁻². The CO₂ adsorption data acquired from the pulverized coal were interpreted using the Dubinin-Astakhov (D-A) model to estimate the micropore volume, the Dubinin-Radushkevich (D-R) model (Eq. 1; a special form of the D-A model obtained for *n*=2) to estimate the SSA and the density functional theory (DFT) analysis to estimate the pore size distribution in a range from 0.30 to 1.50 nm [36-39].

$$\frac{V}{V_0} = \exp \left[- \left(\frac{RT}{\beta E_0} \ln \frac{p_0}{p} \right)^n \right] \quad (1)$$

where, V is the adsorbed volume at relative pressure p_0/p , $10^{-3} \text{ m}^3/\text{kg}$; p_0 is the CO_2 saturated vapor pressure at 273.0 K, MPa (the CO_2 saturated vapor pressure at 273.0 K is taken to be 3.48 MPa); V_0 is the maximum volume of gas adsorbed, $10^{-3} \text{ m}^3/\text{kg}$; E_0 is the characteristic adsorption energy of the reference fluid, J/mol; β is the similarity factor, which is the ratio of the molar volume of CO_2 and the molar volume of the reference fluid; R is the universal gas constant, $8.314472 \text{ cm}^3 \cdot \text{MPa} \cdot \text{mol}^{-1} \cdot \text{K}^{-1}$; T is the equilibrium temperature of CO_2 adsorption, 273.0 K; and n is the structural heterogeneity parameter, which takes values of 2-6 ($n=2$ and $n>2$ indicate larger numbers of micropores with sizes of 1.8-2 nm and <2 nm in the coal, respectively).

2.4 Fourier transform infrared spectroscopy

FTIR was carried out with a VERTEX 80v Fourier transform infrared spectrometer made by Bruker, Germany. To obtain accurate infrared spectra, coal samples no larger than 200 mesh (76 μm) were used in the experiment and were vacuum-dried at 50 $^\circ\text{C}$ for 24 h. The coal samples were prepared by the KBr disc technique. Pulverized coal was evenly mixed with KBr in a proportion of 1:180 (the sum of the weights is 0.1 g). A KBr disc (0.1 g) was used as a blank background. The scanned area was $400\text{-}4000 \text{ cm}^{-1}$ at a scanning resolution of 4 cm^{-1} . The coal samples were scanned 32 times.

Table 2 Semi-quantitative ratios extracted from the FTIR spectra. [11,40,41,42]

Semi-quantitative index	Index calculation	Band region, cm^{-1}
Relative abundance of aliphatic groups (I_1)	aliphatic CH_x stretching vibration/aromatic C=C ring stretching vibration	$I_{2800-3000}/I_{1600}$
Relative abundance of aromatic groups (I_2)	aromatic CH_x asymmetrical deformation vibration/aromatic C=C ring stretching vibration	$I_{1439-1460}/I_{1600}$
Degree of oxygen-containing groups (I_3)	(aromatic C-O stretching vibration + hydrogen bond stretching vibration)/aromatic C=C ring stretching vibration	$(I_{1000-1338} + I_{3200-3600})/I_{1600}$
Aliphatic chain length (I_4)	R_2CH_2 stretching vibration/ RCH_3 stretching vibration (CH ₂ /CH ₃ Ratio)	I_{2925}/I_{2950}
Aromaticity 2 (AR2)	aromatic CH out-of-plane deformation/aliphatic CH_x stretching vibration	$I_{700-900}/I_{2800-3000}$
Degree of aromatic ring condensation 2 (DOC2)	aromatic CH out-of-plane deformation/aromatic C=C ring stretching vibration	$I_{700-900}/I_{1600}$

The types and ratios of the major organic groups of coal samples were acquired via a semi-quantitative analysis of the FTIR spectra. By comparing the absorption frequency attributes of the coal molecules with the coal molecular structure, the major organic groups of the coal and their regions within the FTIR spectra can be

determined. According to the selected regions of the FTIR spectra of the coal samples, polynomial fitting was performed to determine the baseline correction, and overlapping peaks of the selected regions were separated via curve fitting using the OMNIC software package. Band shapes with Gaussian functions were then applied. The positions and number of the bands were preliminarily established via the second derivative of the spectrum. The ratios of the major organic groups were then analysed semi-qualitatively by calculating the peak areas of the organic groups based on the Lambert-Beer law.

Semi-quantitative indexes extracted from FTIR spectra were applied to characterize the chemical structure of the coal. Six structural parameters extracted from FTIR spectra by curve fitting analysis were used in this study (Table 2). The relatively intense and stable absorption peaks were selected, and the band area was used in this work.

2.5 X-ray diffraction

The XRD experiments were conducted using the D8 Advance X-ray diffractometer made by Bruker, Germany. The coal samples used for the XRD experiments had a particle size of <325 mesh (0.045 mm). The XRD experiment employed copper Ka radiation (40 kV, 40 mA), and the scanning range extended from 2 to 80° and included a step distance of 0.1° and a scanning speed of 8 s/step.

XRD has been utilized to determine the structural parameters of coals, such as the fraction of aromaticity (f_a), the inter-layer spacing of the crystalline structure, and crystallite sizes [33,43,44]. The overlapping peak in the 2θ region of 15-35° can be fitted to two Gaussian peaks at approximately 20 and 26° using the MDI Jade 6.0 software package, and these peaks represent the γ and 002 bands, respectively. In principle, the areas under the γ and 002 peaks are believed to be equal to the number of aromatic carbon atoms (C_{ar}) and aliphatic carbon atoms (C_{al}), respectively [33,43]. Therefore, the f_a of coal, the ratio of carbon atoms in aliphatic chains vs. aromatic rings, can be defined as follows [33,43]:

$$f_a = \frac{C_{ar}}{C_{ar} + C_{al}} = \frac{A_{002}}{A_{002} + A_{\gamma}} \quad (2)$$

where A_{002} and A_{γ} are the integrated areas under the 002 and γ peaks, respectively, and C_{al} and C_{ar} are the numbers of aliphatic and aromatic carbon atoms per structural unit, respectively.

The inter-layer spacing of the aromatic layers (d_{002}) can be calculated from the peak position using Bragg's equation [45]:

$$d_{002} = \frac{\lambda}{2 \sin \theta_{002}} \quad (3)$$

where d_{002} is the inter-layer spacing of the aromatic layers, Å; λ is the wavelength of the incident X-ray (for copper K α radiation, $\lambda=1.54056$ Å); and θ_{002} is the scattering angle or peak position of the 002 peak.

Reports have suggested that the crystallite size can also be approximately calculated from the width of the corresponding band at half maximum intensity using empirical expressions first derived by Scherrer [33,42-44]:

$$L_a = \frac{K_a \lambda}{B_a \cos \theta_{100}} \quad (4)$$

$$L_c = \frac{K_c \lambda}{B_c \cos \theta_{002}} \quad (5)$$

where L_a is the average width of the coal crystallites, Å; L_c is the average height of the coal crystallites, Å; K is a constant that depends on the reflection plane, and the value is 1.84 for the 100 peak and 0.89 for the 002 peak; B_a and B_c are the widths of the 100 and 002 peaks at the half maximum intensity, respectively; and θ_{100} is the scattering angle or peak position of the 100 peak.

The average number of the aromatic layers (N_c) can be estimated from d_{002} and L_c using the following equation [46]:

$$N_c = \frac{L_c}{d_{002}} \quad (6)$$

3. Results

3.1 Micropore analyses using CO₂ adsorption tests

3.1.1 Variations in micropore volume and the SSA

The volume of the micropores in the coal samples is 0.049-0.068 cm³/g, the SSA is 146.708-304.682 m²/g, and the average pore width is 0.627-0.501 nm (Table 3). As the coal rank increases, both the volume and the SSA of the micropores increase, and the increase in the micropore SSA (85.02-107.68 %) is much larger than the increase in the micropore volume (14.29-38.78 %). Conversely, the pore width decreases as the coal rank increases (Table 3). This result shows that coal with a higher rank has a more compact chemical structure with a smaller micropore width.

After the ScCO₂ treatment, the volume and SSA of the micropores in Coal #1 decreased (10.20 % and 48.95 %, respectively) while the average pore width was fixed (Table 3). The volume, SSA, and average pore width of the

micropores in Coals #2-#4 increased (Table 3). As the coal rank increases, the volume (12.50 %, 14.71 %, and 27.69 %, respectively) and SSA (109.48 %, 212.04 %, and 209.60 %, respectively) of the micropores in Coals #2-#4 increase (Table 3). Although the increase in micropore volume is lower than that of the SSA, their patterns of variation are highly consistent (Table 3).

Table 3 Micropore volume, SSA, and other pore structure parameters determined by CO₂ adsorption applied to the coal samples.

Sample	DR SSA, m ² /g	DA pore volume, ml/g	Average pore width, nm	Pore width of the peak, nm	
#1	Untreated	146.708	0.049	0.627	0.524/0.627/0.751/0.822
	ScCO ₂ treated	74.889	0.044	0.627	0.366/0.501/0.627/0.751/0.822
#2	Untreated	273.349	0.056	0.627	0.501/0.627/0.751/0.859
	ScCO ₂ treated	572.620	0.063	0.673	0.573/0.718/0.822
#3	Untreated	271.446	0.068	0.501	0.319/0.501/0.600/0.786/0.859
	ScCO ₂ treated	847.009	0.078	0.600	0.524/0.600/0.718/0.822
#4	Untreated	304.682	0.065	0.501	0.319/0.418/0.501/0.573/0.822
	ScCO ₂ treated	943.288	0.083	0.524	0.524/0.600/0.822

3.1.2 Variations in pore size distribution

The coal samples show a pore size distribution that includes multiple peaks (generally 3-4) that occur at 0.50 nm (0.46-0.55 nm), 0.63 nm (0.55-0.69 nm), 0.75 nm (0.69-0.79 nm), and 0.82 (0.79-1.50 nm) (Table 3, Fig. 1). Coals #1 and #2 have 3 main peaks located at 0.50 nm, 0.63 nm, and 0.82 nm, and the peak located at 0.75 nm is smaller (Fig. 1a, b). Coals #3 and #4 display 2 main peaks located at 0.50 nm and 0.63 nm (Fig. 1c, d). As the coal rank increases, the peaks that occur at 0.75 nm and 0.82 nm tend to unite, and the peak located at 0.75 nm, which is seen in the results for Coal #4, disappears (Fig. 1). Meanwhile, Coal #4 displays 5 peaks. Compared with Coals #1-#3, Coal #4 does not display the peak located at 0.75 nm, whereas it displays 2 additional peaks located at 0.32 nm (0.30-0.36 nm) and 0.42 nm (0.38-0.46 nm) (Fig. 1d), indicating that micropores with widths <0.46 nm are more strongly developed in the anthracite coal samples. The peak located at 0.32 nm also appears in Coal #3 (Fig. 1c); however, the peak is very small and may have been caused by measurement uncertainty.

After the ScCO₂ treatment, the peak values of Coal #1 decreased while the morphology of the peaks changed slightly, indicating that ScCO₂ significantly decreased the micropore volume in the high-volatile bituminous coal and had little influence on the pore size distribution (Fig. 1a). Thus, the average pore width of Coal #1 does not change. After the ScCO₂ treatment, Coal #1 displays one additional small peak located at 0.36 nm (0.32-0.44 nm) (Fig. 1a), indicating that ScCO₂ increased the volume of micropores with widths <0.46 nm in Coal #1. The micropore volume under the peaks located at 0.75 nm and 0.82 nm in Coals #2-#4 increased slightly (Fig. 1b, c, d). The changes in micropore volume are mainly concentrated in the peaks with small pore widths (0.50 nm and 0.63

207 nm; Fig. 1b, c, d), resulting in an increase in the micropore SSA of Coals #2-#4 that is much larger than the
 208 micropore volume. With the increase in pore width under the peak located at 0.50 nm, the peak located at 0.50 nm
 209 merges with the peak located at 0.63 nm, thus forming a new peak located at 0.57 nm (0.48-0.69 nm) in Coals
 210 #2-#4. This process causes a decrease in the volume of micropores with widths of 0.48-0.57 nm and an increase in
 211 the volume of micropores with widths >0.57 nm (Fig. 1b, c, d). In addition, micropores with widths <0.46 nm
 212 almost disappear in the semi-anthracite and anthracite coal, and the decrease in micropore volume is more obvious
 213 in the anthracite due to the greater abundance of micropores with widths <0.46 nm (Fig. 1c, d).

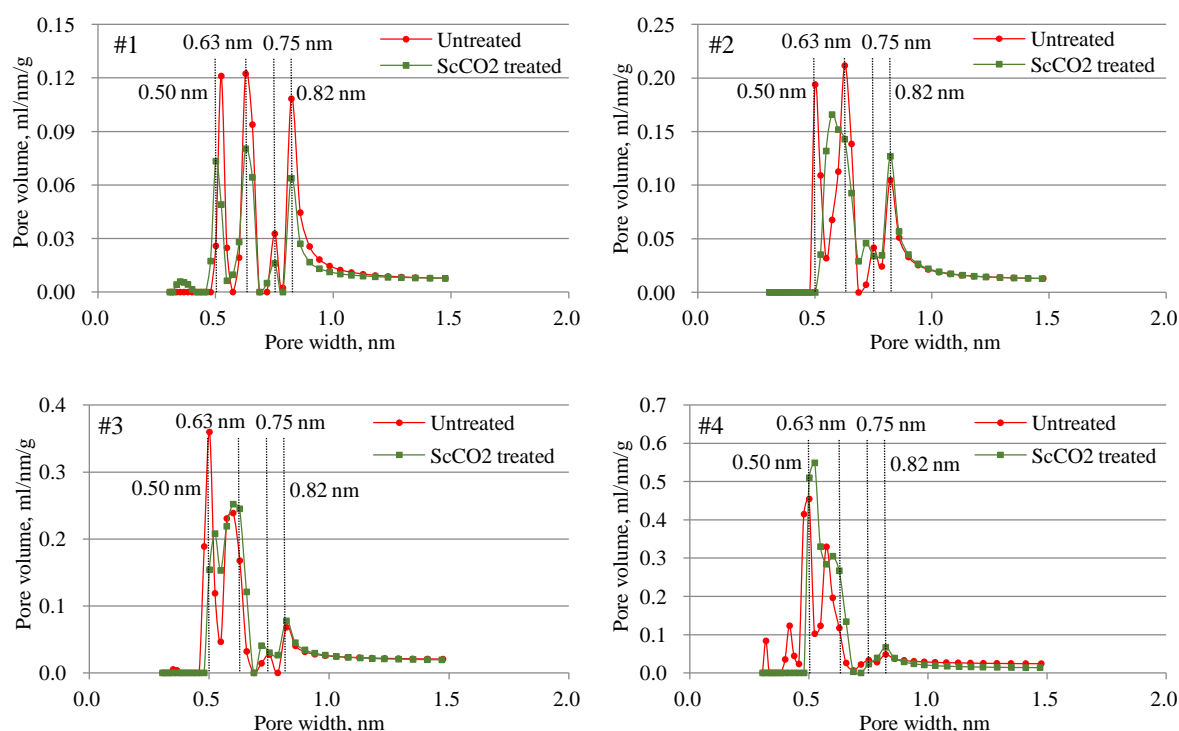
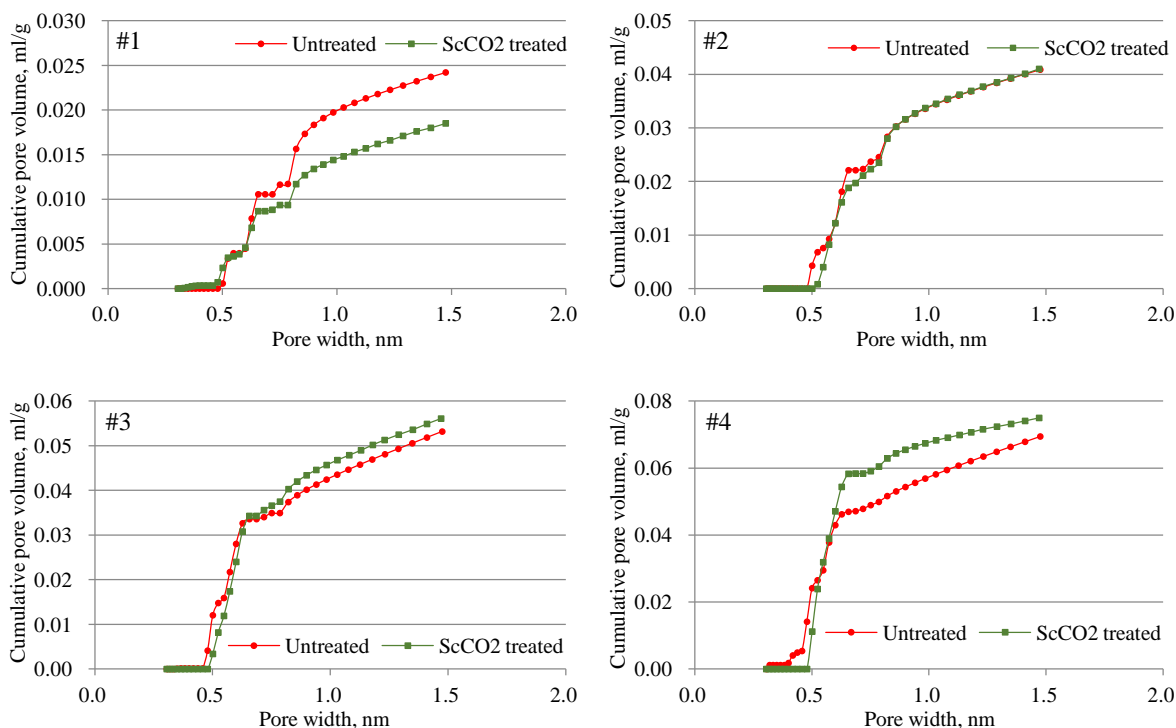


Fig. 1. Pore size distribution determined via CO₂ adsorption for the coal samples

217 The effects of the pore size distribution on the micropore volume are more significant in the cumulative pore
 218 volume results. After the ScCO₂ treatment, the change in the cumulative pore volume occurs in stages. Coal #1
 219 displays one additional small peak located at 0.36 nm, resulting in a slight increase in the volume of micropores
 220 with widths <0.46 nm. With the decrease in micropore volume under the peaks located at 0.50 nm, 0.63 nm, 0.75
 221 nm, and 0.82 nm, the volume of micropores with widths >0.63 nm in Coal #1 is smaller than that of raw coal (Fig.
 222 2a). In Coal #2, the volume of the micropores with widths <0.46 nm is basically unchanged, whereas in Coals #3
 223 and #4, the micropore volume decreases due to the disappearance of the peaks that occur at 0.32 nm and 0.42 nm
 224 (Fig. 2b, c, d). With the increase in micropore volume under the peak located at 0.50 nm, the volume of
 225 micropores with widths >0.46 nm gradually decreases after the ScCO₂ treatment. The micropore volume after the

226 ScCO₂ treatment exceeds the micropore volume in the raw coal when the pore width is >0.79 nm (low-volatile
 227 bituminous coal), >0.63 nm (semi-anthracite coal), and >0.57 nm (anthracite; Fig. 2b, c, d). As the coal rank
 228 increases, the volume of micropores with widths >0.46 nm increases (Fig. 2b, c, d). The increase in the cumulative
 229 pore volume of the micropores with widths >0.79 nm in Coals #2-#4 is small (Fig. 2) because of the weak changes
 230 in the volume of micropores with widths >0.79 nm after the ScCO₂ treatment (Fig. 1).



232
 233 **Fig. 2.** Cumulative pore volume of CO₂ adsorption for the coal samples

234 3.2 Variations in crystallite structure characteristics of the coal samples

235 Two broadened diffraction peaks are observed in the XRD spectra of the coal samples, and the diffraction
 236 angles are 20-30° and 40-50° (Fig. 3). These 2 diffraction peaks are in accordance with the 002 and 100 peaks of
 237 natural graphite, which display diffraction angles of 26.6° and 43.4°, respectively. The 002 peak is more obvious
 238 than the 100 peak in the XRD spectra of the coal samples (Fig. 3) and overlaps with the γ peak located to the left
 239 of the 002 peak, which produces an asymmetrical distribution. As the coal rank increases, the γ peak intensity
 240 decreases as the aliphatic structures decrease, which results in a more regular XRD spectra (Fig. 3). After the
 241 ScCO₂ treatment, the XRD spectra of Coals #2-#4 show no marked changes, especially the position and shape of
 242 the 002 peak and the 100 peak. This result indicates that Coals #2-#4 have similar crystallite structures before and
 243 after the ScCO₂ treatment. The XRD spectra of Coal #1 are significantly affected by minerals that produce several
 244 sharp diffraction peaks in the XRD spectra of Coal #1, and some minerals are still present after the ScCO₂

245 treatment (Fig. 3).

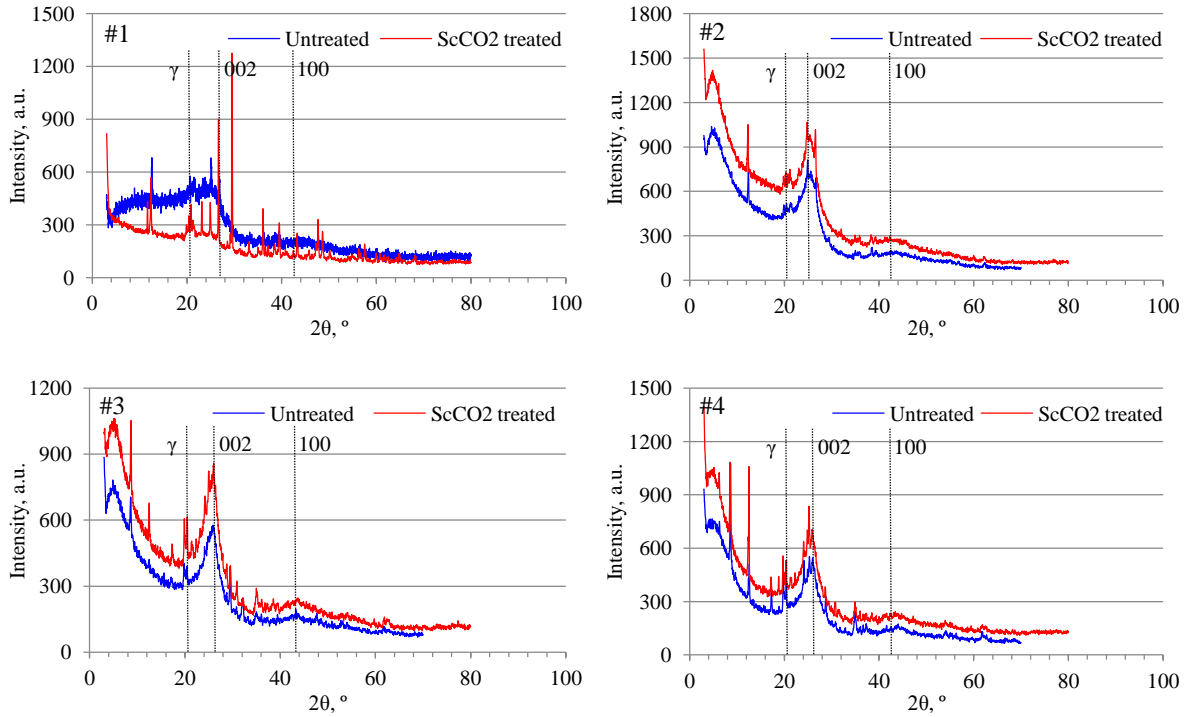


Fig. 3. XRD spectra of the coal samples

249 As the coal rank increases, the degree of aromatic ring condensation increases while the contents of bridge
 250 bonds, side chains, and oxygen-containing groups decrease, resulting in an increase in f_a (41.69-79.00; Table 4).
 251 Furthermore, the crystallite structures of the coal samples tend to transform to graphitic crystalline structures.
 252 Therefore, the L_a (25.78-26.63 Å) and L_c (11.06-11.76 Å) values of the coal samples increase while the d_{002} values
 253 (3.55-3.45 Å) decrease, causing increases in N_c (3.12-3.41; Table 4).

Table 4 Structural parameters extracted from the XRD spectra.

	Sample	$\theta_{002}, ^\circ$	$\theta_{100}, ^\circ$	$d_{002}, \text{\AA}$	$L_c, \text{\AA}$	$L_a, \text{\AA}$	N_c	f_a
#1	Untreated	12.55	21.28	3.55	11.06	25.78	3.12	41.69
	ScCO ₂ treated	12.46	21.22	3.57	11.06	25.13	3.10	55.62
#2	Untreated	12.66	21.74	3.51	11.07	26.08	3.15	63.69
	ScCO ₂ treated	12.62	21.16	3.53	10.98	24.92	3.11	67.52
#3	Untreated	12.95	21.64	3.44	11.17	26.41	3.25	69.27
	ScCO ₂ treated	13.02	21.53	3.42	10.91	26.73	3.19	65.47
#4	Untreated	12.91	21.67	3.45	11.76	26.63	3.41	79.00
	ScCO ₂ treated	13.02	21.64	3.42	10.99	26.75	3.21	76.61

255 After the ScCO₂ treatment, the d_{002} values of Coal #1 and #2 increase slightly, while those of Coals #3 and #4
 256 decrease slightly (Table 4). The L_c values of the coal samples tend to decrease, and these decreases become more
 257 pronounced as the coal rank increases (0, 0.81 %, 2.33 %, and 6.55 %, respectively; Table 4). This observation
 258 explains why the decrease in the N_c values of the semi-anthracite and anthracite coals is larger than that of the

259 bituminous coal (0.64 %, 1.27 %, 1.85 %, and 5.87 %, respectively; Table 4). Compared with the results for d_{002} ,
 260 the L_a values of Coal #1 and #2 decrease slightly, while those of Coals #3 and #4 increase slightly (Table 4). In
 261 addition, the f_a values of Coal #1 increase, while those of Coal #2 increase slightly, and those of Coals #3 and #4
 262 decrease slightly (Table 4), indicating that after the ScCO₂ treatment, the degree of aromatic ring condensation of
 263 bituminous coal tends to increase, while that of semi-anthracite and anthracite tends to decrease.

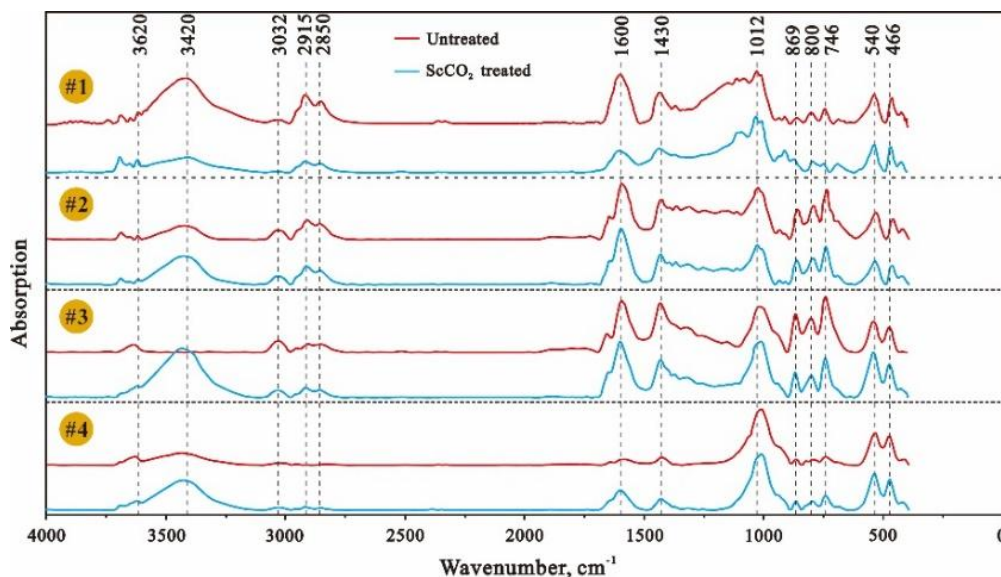


Fig. 4. FTIR spectra of the coal samples

3.3 FTIR characteristics of the coal samples

267 The FTIR spectra of the coal samples mainly contain the absorbance peaks of aromatic nuclei (1600 cm^{-1}),
 268 aromatic groups ($700\text{--}900\text{ cm}^{-1}$), oxygen-containing groups ($1000\text{--}1800\text{ cm}^{-1}$; exceptions include the absorbance
 269 peaks of aromatic nuclei at 1600 cm^{-1} and the deformation vibration absorption peaks of CH₃- and CH₂- at
 270 $1439\text{--}1460\text{ cm}^{-1}$), aliphatic hydrocarbons ($2800\text{--}3000\text{ cm}^{-1}$), and hydroxyl groups ($3000\text{--}3600\text{ cm}^{-1}$; Fig. 4).
 271 Absorption peaks of minerals are also observed in the FTIR spectra, and these peaks are mainly the absorption
 272 peaks of quartz (466 cm^{-1}), clay minerals (540 cm^{-1}), and silicate minerals and kaolinite ($3600\text{--}3700\text{ cm}^{-1}$; e.g.,
 273 hydromica at 3620 cm^{-1} and hydroxyl in kaolinite at 3690 cm^{-1} ; Fig. 4). The coal samples yield FTIR spectra with
 274 similar shapes but significantly different absorbance peak intensities, indicating that the coal samples have similar
 275 types of organic groups and differ substantially in the contents of these organic groups. After the ScCO₂ treatment,
 276 the absorbance peak intensities of hydroxyl groups, aromatic nuclei, aromatic groups, oxygen-containing groups,
 277 and aliphatic hydrocarbons in Coal #1 decrease (Fig. 4). The absorbance peak intensities of hydroxyl groups and
 278 oxygen-containing groups in Coals #2-#4 increase, while that of the aromatic nucleus, aromatic groups, and
 279 aliphatic hydrocarbon decrease. As the coal rank increases, these decreases become more pronounced (Fig. 4).

Table 5 Aromatic C-H out-of-plane deformation bands extracted from the FTIR spectra.

Sample	Untreated				ScCO ₂ treated			
	Peak index	Position, cm ⁻¹	Area percentage, %	Assignment	Peak index	Position, cm ⁻¹	Area percentage, %	Assignment
#1	1	725.30	6.45	(CH ₃) _n (n≥4)	1	749.47	18.42	4H
	2	746.78	33.18	4H	2	777.58	16.40	3H
	3	791.82	17.97	3H	3	797.85	17.18	3H
	4	808.99	21.31	2H	4	817.68	3.81	2H
	5	863.56	21.08	1H	5	848.12	7.49	2H
	6				6	875.06	36.71	1H
#2	1	715.73	8.37	(CH ₃) _n (n≥4)	1	720.72	6.70	(CH ₃) _n (n≥4)
	2	743.58	33.89	4H	2	746.49	32.84	4H
	3	796.72	30.74	3H	3	799.73	33.05	3H
	4	838.96	9.91	2H	4	836.09	6.25	2H
	5	867.02	17.10	1H	5	867.84	21.17	1H
#3	1	717.49	13.11	(CH ₃) _n (n≥4)	1	721.57	13.18	(CH ₃) _n (n≥4)
	2	743.64	32.33	4H	2	745.28	34.31	4H
	3	784.01	6.93	3H	3	787.98	8.48	3H
	4	800.63	11.33	3H	4	804.84	13.52	3H
	5	824.80	15.47	2H	5	826.87	8.42	2H
	6	866.80	20.84	1H	6	867.97	22.10	1H
#4	1	723.10	13.72	(CH ₃) _n (n≥4)	1	714.52	6.14	(CH ₃) _n (n≥4)
	2	748.26	33.43	4H	2	731.03	9.15	4H
	3	782.25	7.54	3H	3	742.94	12.41	4H
	4	798.88	13.84	3H	4	754.32	18.57	4H
	5	816.76	7.46	2H	5	784.30	7.71	3H
	6	830.75	5.71	2H	6	795.95	9.26	3H
	7	869.74	18.31	1H	7	811.08	14.26	2H
	8				8	831.06	4.46	2H
	9				9	869.80	18.04	1H

Note: (CH₃)_n (n≥4) denotes single substitution.

3.3.1 Variations in aromatic structures

The coal samples are dominated by 4H (735-750 cm⁻¹) and 3H (750-810 cm⁻¹), followed by 1H (860-900 cm⁻¹), which displays the lowest contents of (CH₃)_n (n≥4) (710-725 cm⁻¹), and 2H (810-860 cm⁻¹; Table 5). As the coal rank increases, the 2H contents generally decrease and the 4H and 1H contents change slightly (Table 5). Coals #3 and #4 have larger contents of (CH₃)_n (n≥4) (13.11 % and 13.72 %, respectively), and the contents show a rising trend as the coal rank increases, indicating that high-rank coals have low contents of substituents in the aromatic

groups (Table 5). Overall, Coal #1 has the smallest content of aromatic groups (4.58 %) and lowest I_2 values (0.38) due to the large content of oxygen-containing groups (33.22 %) and hydroxyl structures (33.96 %; Table 6, 7). As the coal rank increases, the aromatic group contents and I_2 values of coal samples increase significantly (Table 6, 7).

Table 6 Variations in the percentage of the absorption peak area extracted from the FTIR spectra, %.

Assignment	Position, cm ⁻¹	Untreated				ScCO ₂ treated			
		#1	#2	#3	#4	#1	#2	#3	#4
Aromatic CH out-of-plane deformation	700-900	4.59	16.60	26.00	9.29	5.87	14.45	10.87	7.51
Aromatic C-O stretching vibration	1000-1800	33.22	38.67	27.44	44.81	46.27	27.62	24.12	25.62
Aliphatic CH _x stretching vibration	2800-3000	8.55	7.18	4.42	0.93	5.74	7.58	3.10	1.93
Hydrogen bond stretching vibration	3000-3600	33.96	8.56	0.58	32.60	19.61	23.52	36.19	48.91
Aromatic C=C ring stretching vibration	1600	11.59	15.03	20.34	5.69	9.41	14.74	15.58	9.91
Aromatic CH _x deformation vibration	1370-1480	7.62	12.05	18.00	5.35	12.91	10.08	8.81	4.96
Aromatic CH stretching vibration	3000-3100	0.46	1.90	3.22	1.35	0.19	2.01	1.33	1.16

Table 7 Structural parameters extracted from the FTIR spectra.

Sample		I_1	I_2	I_3	I_4	AR2	DOC2
#1	Untreated	0.74	0.38	5.68	2.78	0.54	0.40
	ScCO ₂ treated	0.61	0.62	6.76	2.83	1.02	0.62
#2	Untreated	0.48	0.45	2.95	2.40	2.31	1.10
	ScCO ₂ treated	0.51	0.38	3.26	2.59	1.91	0.98
#3	Untreated	0.22	0.48	1.16	3.97	5.89	1.28
	ScCO ₂ treated	0.20	0.34	3.72	5.59	3.50	0.70
#4	Untreated	0.16	0.46	13.34	0.59	10.01	1.63
	ScCO ₂ treated	0.19	0.29	7.30	2.80	3.89	0.76

After the ScCO₂ treatment, the aromatic group contents (1.28 %) and I_2 values of Coal #1 increase slightly, whereas those of Coals #2-#4 show a decreasing trend (Table 6, 7). The content of (CH₃)_n ($n \geq 4$) in Coal #3 is basically unchanged (increase 0.48 %), whereas that of Coal #1, #2 and #4 is significantly decreased (100.00 %, 19.97 %, and 55.23 %, respectively; Table 5). As the coal rank increases, the 4H contents vary from a significant decrease for Coal #1 (44.50 %) and a slight decrease for Coal #2 (3.12 %) to a slight increase for Coal #3 (6.12 %) and a significant increase for Coal #4 (20.03 %; Table 5). As the coal rank increases, the 3H contents increase for Coals #1-#3 (86.80 %, 7.53 %, and 20.52, respectively) and significantly decrease for Coal #4 (20.60 %; Table 5). Coal #4 displays a significant increase in the 2H content (42.20 %) and a slight decrease in the 1H content (1.46 %). Conversely, Coals #1-#3 display a strong decrease in the 2H contents and an increase in the 1H contents (Table 5). As the coal rank increases, the increases in 1H contents (74.14 %, 23.81 %, and 6.05 %, respectively) become less pronounced. (Table 5). In general, the coal samples display predominantly substitution reactions

under ScCO₂ treatment, which causes a considerable increase in the substituents. As the coal rank increases, the bond dissociation reactions gradually increase, producing decreases in the aromatic group contents and *I*₂ values of Coals #2-#4 (Table 6, 7).

3.3.2 Variations in ScCO₂ on oxygen-containing structures

As the coal rank increases, the oxygen-containing group content and *I*₃ values decrease rapidly, and Coal #4 presents the largest contents of oxygen-containing groups and values of *I*₃ (Table 6, 7). The oxygen-containing groups in the coal samples are predominantly alkyl ethers (1030-1040 cm⁻¹), 2°C-O secondary (sec.) alcohols (1100-1125 cm⁻¹), 2°C-O phenols, ethers (1150-1250 cm⁻¹), and 2°C-O in aryl ethers (1260-1351 cm⁻¹), and they present small contents of carboxyl (quinone) C=O (1650-1690 cm⁻¹), carboxyl (ketone) C=O (1690-1760 cm⁻¹), and aryl esters (1760-1800 cm⁻¹; Table 8). Coals #1 and #2 are dominated by 2°C-O phenols and ethers, followed by 2°C-O sec. alcohols, and they present the lowest contents of 2°C-O in aryl ethers and alkyl ethers (Table 8). Coal #3 is dominated by 2°C-O in aryl ethers, followed by 2°C-O phenols, ethers and alkyl ethers, and it presents low contents of 2°C-O sec. alcohols (Table 8). Finally, Coal #4 is dominated by alkyl ethers and 2°C-O sec. alcohols, and it presents small contents of other oxygen-containing groups (Table 8).

Table 8 Oxygen-containing functional groups and aromatic nuclei extracted from the FTIR spectra.

Sample	Untreated				ScCO ₂ treated			
	Peak index	Position, cm ⁻¹	Area percentage, %	Assignment	Peak index	Position, cm ⁻¹	Area percentage, %	Assignment
#1	1	1043.82	13.11	alkyl ethers	1	1036.69	22.42	alkyl ethers
	2	1083.30	16.27	2°C-O sec. alcohols	2	1081.43	15.97	2°C-O sec. alcohols
	3	1114.53	8.46	2°C-O sec. alcohols	3	1117.44	15.32	2°C-O sec. alcohols
	4	1143.58	12.33	2°C-O phenols, ethers	4	1166.06	14.68	2°C-O phenols, ethers
	5	1184.64	20.01	2°C-O phenols, ethers	5	1217.10	8.91	2°C-O phenols, ethers
	6	1230.29	8.33	2°C-O phenols, ethers	6	1260.65	7.48	2°C-O in aryl ethers
	7	1267.26	8.16	2°C-O in aryl ethers	7	1296.80	4.74	2°C-O in aryl ethers
	8	1312.79	5.97	2°C-O in aryl ethers	8	1330.02	5.61	2°C-O in aryl ethers
	9	1345.38	3.24	2°C-O in aryl ethers	9	1642.62	3.67	carboxyl (quinone) C=O
	10	1646.77	2.91	carboxyl (quinone) C=O	10	1690.04	0.98	carboxyl (quinone) C=O
	11	1700.16	0.49	carboxyl (ketone) C=O	11	1734.69	0.21	carboxyl (ketone) C=O
	12	1733.68	0.62	carboxyl (ketone) C=O	12	1772.60	0.02	aryl esters
	13	1773.37	0.11	aryl esters	13			
#2	1	1035.02	13.01	alkyl ethers	1	1037.78	14.21	alkyl ethers
	2	1075.47	13.02	2°C-O sec. alcohols	2	1074.14	12.80	2°C-O sec. alcohols
	3	1118.23	7.25	2°C-O sec. alcohols	3	1116.28	7.12	2°C-O sec. alcohols
	4	1151.93	8.71	2°C-O phenols, ethers	4	1150.97	7.84	2°C-O phenols, ethers

5	1185.90	8.59	2°C-O phenols, ethers	5	1183.53	7.21	2°C-O phenols, ethers
6	1222.18	8.71	2°C-O phenols, ethers	6	1223.39	10.04	2°C-O phenols, ethers
7	1255.00	7.26	2°C-O in aryl ethers	7	1259.81	7.04	2°C-O in aryl ethers
8	1292.93	11.03	2°C-O in aryl ethers	8	1296.94	8.34	2°C-O in aryl ethers
9	1335.68	14.73	2°C-O in aryl ethers	9	1333.51	14.25	2°C-O in aryl ethers
10	1653.86	5.22	carboxyl (quinone) C=O	10	1652.53	10.23	carboxyl (quinone) C=O
11	1723.83	1.19	carboxyl (ketone) C=O	11	1710.04	0.28	carboxyl (ketone) C=O
12	1754.96	0.57	carboxyl (ketone) C=O	12	1730.71	0.44	carboxyl (ketone) C=O
13	1784.09	0.70	aryl esters	13	1769.50	0.20	aryl esters

#3	1	1035.64	16.77	alkyl ethers	1	1034.22	22.01	alkyl ethers
	2	1067.51	8.17	Si-O	2	1070.22	14.13	2°C-O sec. alcohols
	3	1094.28	2.67	2°C-O sec. alcohols	3	1118.12	9.16	2°C-O sec. alcohols
	4	1132.08	3.18	2°C-O sec. alcohols	4	1151.91	2.89	2°C-O phenols, ethers
	5	1150.11	3.79	2°C-O phenols, ethers	5	1180.88	6.82	2°C-O phenols, ethers
	6	1205.02	14.10	2°C-O phenols, ethers	6	1221.79	5.83	2°C-O phenols, ethers
	7	1256.81	12.00	2°C-O in aryl ethers	7	1259.94	8.08	2°C-O in aryl ethers
	8	1295.98	8.13	2°C-O in aryl ethers	8	1307.75	11.70	2°C-O in aryl ethers
	9	1325.03	14.90	2°C-O in aryl ethers	9	1346.19	9.90	2°C-O in aryl ethers
	10	1655.81	9.66	carboxyl (quinone) C=O	10	1654.12	9.01	carboxyl (quinone) C=O
	11	1718.51	0.67	carboxyl (ketone) C=O	11	1702.86	0.10	carboxyl (ketone) C=O
	12	1747.02	2.63	carboxyl (ketone) C=O	12	1741.55	0.27	carboxyl (ketone) C=O
	13	1797.15	3.32	aryl esters	13	1770.47	0.10	aryl esters

#4	1	1032.60	47.70	alkyl ethers	1	1034.02	47.19	alkyl ethers
	2	1072.70	21.83	2°C-O sec. alcohols	2	1071.41	26.87	2°C-O sec. alcohols
	3	1110.92	18.57	2°C-O sec. alcohols	3	1112.99	11.95	2°C-O sec. alcohols
	4	1175.38	2.57	2°C-O phenols, ethers	4	1170.97	1.66	2°C-O phenols, ethers
	5	1215.39	2.71	2°C-O phenols, ethers	5	1255.41	0.76	2°C-O in aryl ethers
	6	1255.46	1.55	2°C-O in aryl ethers	6	1322.40	3.08	2°C-O in aryl ethers
	7	1298.02	1.63	2°C-O in aryl ethers	7	1653.03	7.51	carboxyl (quinone) C=O
	8	1646.40	2.25	carboxyl (quinone) C=O	8	1702.54	0.22	carboxyl (ketone) C=O
	9	1712.23	0.27	carboxyl (ketone) C=O	9	1740.96	0.54	carboxyl (ketone) C=O
	10	1743.88	0.52	carboxyl (ketone) C=O	10	1771.63	0.21	aryl esters
	11	1768.06	0.39	aryl esters	11			

320 After the ScCO₂ treatment, the alkyl ether content in Coal #4 decreases slightly (1.06 %) while that in Coals
321 #2-#4 increases (Table 8). As the coal rank increases, the increases become more pronounced. The contents of
322 2°C-O phenols, ethers, carboxyl (ketone) C=O, and aryl esters decrease significantly in the coal samples (Table 8),
323 while the changes in the contents of 2°C-O sec. alcohols, 2°C-O aryl ethers, and carboxyl (quinone) C=O are
324 complex. The 2°C-O sec. alcohol contents increase significantly in Coals #1 and #3 (by up to 297.65 % in Coal #3)
325 but decrease in Coals #2 and #4 (1.75 % and 3.90 %, respectively; Table 8). The 2°C-O in aryl ether contents

increase in Coals #1 and #4 but decrease significantly in Coals #2 and #3 (Table 8). The carboxyl (quinone) C=O contents in Coal #3 decrease slightly (6.70 %) and increase in Coals #1, #2 and #4. The increase in the carboxyl (quinone) C=O content in Coals #1, #2 and #4 becomes more pronounced as the coal rank increases (Table 8). In general, the contents of oxygen-containing groups increase slightly in Coal #1 (13.65 %) due to oxidation reactions (Table 6) but decrease in Coals #2-#4 (Table 6) due to swelling (including hydrolysis reactions and nucleophilic addition reactions [8, 21]).

Table 9 Aliphatic C-H stretching bands extracted from the FTIR spectra.

Sample	Untreated				ScCO ₂ treated			
	Peak index	Position, cm ⁻¹	Area percentage, %	Assignment	Peak index	Position, cm ⁻¹	Area percentage, %	Assignment
#1	1	2839.47	17.21	sym. R ₂ CH ₂	1	2831.84	11.07	sym. R ₂ CH ₂
	2	2859.57	20.12	sym. R ₂ CH ₂	2	2856.01	24.08	sym. R ₂ CH ₂
	3	2892.49	18.62	-R ₃ CH	3	2888.55	19.17	-R ₃ CH
	4	2920.20	32.39	asym. R ₂ CH ₂	4	2919.25	33.74	asym. R ₂ CH ₂
	5	2952.43	11.65	asym. RCH ₃	5	2953.79	11.94	asym. RCH ₃
#2	1	2832.20	14.11	sym. R ₂ CH ₂	1	2829.23	13.53	sym. R ₂ CH ₂
	2	2858.87	23.32	sym. R ₂ CH ₂	2	2857.70	24.19	sym. R ₂ CH ₂
	3	2888.01	16.49	-R ₃ CH	3	2888.23	16.53	-R ₃ CH
	4	2915.12	32.51	asym. R ₂ CH ₂	4	2915.96	33.01	asym. R ₂ CH ₂
	5	2950.89	13.57	asym. RCH ₃	5	2949.73	12.74	asym. RCH ₃
#3	1	2826.24	16.47	sym. R ₂ CH ₂	1	2828.28	9.66	sym. R ₂ CH ₂
	2	2850.19	22.13	sym. R ₂ CH ₂	2	2855.79	28.00	sym. R ₂ CH ₂
	3	2872.46	12.20	sym. R ₂ CH ₂	3	2884.33	10.59	-R ₃ CH
	4	2905.67	39.29	asym. R ₂ CH ₂	4	2914.36	43.89	asym. R ₂ CH ₂
	5	2951.97	9.91	asym. RCH ₃	5	2954.21	7.86	asym. RCH ₃
#4	1	2821.42	26.32	sym. R ₂ CH ₂	1	2826.68	8.53	sym. R ₂ CH ₂
	2	2844.44	27.26	sym. R ₂ CH ₂	2	2851.65	22.18	sym. R ₂ CH ₂
	3	2884.51	4.50	-R ₃ CH	3	2886.09	22.27	-R ₃ CH
	4	2913.17	9.84	asym. R ₂ CH ₂	4	2918.57	34.64	asym. R ₂ CH ₂
	5	2944.16	11.90	asym. R ₂ CH ₂	5	2956.43	12.39	asym. RCH ₃
	6	2957.46	20.18	asym. RCH ₃	6	2826.68	8.53	sym. R ₂ CH ₂

Note: sym. denotes symmetric; asym. denotes asymmetric.

3.3.3 Variations in ScCO₂ on aliphatic structures

The coal samples display 2 main absorption bands (peaks) at 2847-2858 cm⁻¹ and 2918-2935 cm⁻¹ that correspond to symmetrical (sym.) R₂CH₂ and asymmetrical (asym.) R₂CH₂ (Table 9). Therefore, the coal samples are dominated by -CH₂ and present low contents of -CH₃ (asym. RCH₃) and -CH (-R₃CH), indicating that the

aliphatic hydrocarbons in the coal samples are mainly unbranched structures and alicyclic structures with relatively few branches. As the coal rank increases, the aliphatic hydrocarbons in the coal gradually fall off, resulting in a significant decrease in the aliphatic hydrocarbon contents and I_1 and I_4 values. The aliphatic hydrocarbon contents in Coal #4 are only 0.93 % (Table 6, 7).

After the ScCO_2 treatment, the sym. R_2CH_2 content is basically unchanged in Coal #2 (only 0.78 %) and decreases in Coals #1, #3, and #4 (Table 9). The decrease in the sym. R_2CH_2 content in Coal #4 reaches 42.69 % (Table 9). The asym. R_2CH_2 contents in the coal samples increase and show an increasing trend as the coal rank increases, and the values in Coals #1, #2, #3, and #4 are 4.15 %, 1.55 %, 11.71 %, and 59.32 %, respectively (Table 9). The $-\text{R}_3\text{CH}$ contents in the coal samples mainly decrease, whereas the asym. RCH_3 contents mainly increase (Table 9). Coal #4 displays the largest increase in the $-\text{R}_3\text{CH}$ content (395.03 %) and a decrease in the asym. RCH_3 content (38.61 %; Table 9). In general, the aliphatic hydrocarbon contents (including $-\text{CH}_2$, $-\text{CH}_3$, and $-\text{CH}$) display a decreasing trend in Coals #1 and #3 and increase slightly in Coals #2 and #3 due to the large increase in asym. RCH_3 and asym. R_2CH_2 contents (Table 6). However, the I_1 value of Coal #2 increases slightly, whereas the I_1 values of Coals #1, #3, and #4 decrease (Table 7). The asym. RCH_3 contents generally decrease, indicating that the branches of the aliphatic hydrocarbon chains have low bond dissociation energies that give priority to bond dissociation reactions. These reactions are the main reason for the decrease in the aliphatic hydrocarbon contents of Coals #1 and #3. The I_4 values of the coal samples increase, which is consistent with the increase in the asym. R_2CH_2 contents (Table 7). As the coal rank increases, the I_4 values significantly increase (Table 7), indicating that the aliphatic hydrocarbon chains are dominated by polyaddition reactions. The polyaddition reactions are stronger in the high-rank coals, resulting in an increase in the aliphatic hydrocarbon chain length.

3.3.4 Variations in ScCO_2 on hydroxyl structures

Five types of hydroxyl groups are observed in the coal samples: OH-N, ring hydroxyls, OH-O, OH-OH, OH- π , and $\cdot\text{OH}$ (Table 10). The coal samples are dominated by OH-OH and OH-O and display low contents of other hydroxyl groups (Table 10). Similar to the oxygen-containing groups, the hydroxyl group contents decrease significantly as the coal rank increases (Table 6).

After the ScCO_2 treatment, the OH-N contents in the coal samples increase while the contents of ring hydroxyls, OH-O, OH-OH, OH- π , and $\cdot\text{OH}$ are more complex (Table 10). The ring hydroxyl content in Coal #3 decreases significantly, whereas that in Coal #1, #2, and #4 increases significantly (26.59-179.50 %; Table 10). The OH- π

contents in Coals #1 and #4 decrease significantly (16.66 % and 17.52 %, respectively), while those in #2 and #3 increase significantly (29.11 % and 95.90 %, respectively; Table 10). The content of OH in Coal #1 decreases significantly (125.38 %), whereas such content was not observed in Coal #3 (Table 10). The contents of ring hydroxyls, OH- π , and \cdot OH in the coal samples are low, and these species make small contributions to the changes in the hydroxyl group contents, which are determined by OH-OH and OH-O. The OH-OH and OH-O contents in Coal #1 decrease significantly (18.72 % and 11.96 %, respectively), resulting in a significant decrease in the hydroxyl group contents (Table 10). In Coal #2, the OH-OH content decreases slightly (2.48 %) while the OH-O content increases significantly (18.53 %); thus, the hydroxyl group content increases significantly (Table 10). The OH-OH and OH-O contents in Coals #3 and #4 increase, especially in Coal #3, which presents an increase in OH-OH contents of 278.13 %, and an increase in the hydroxyl group contents is observed in Coals #3 and #4 (Table 10). Therefore, the hydroxyl groups in Coal #1 give priority to oxidation reactions, resulting in a decrease in the hydroxyl group contents. In Coals #2-#4, however, the hydroxyl groups are dominated by swelling, resulting in an increase in the hydroxyl group contents (Table 6). Due to the significant changes in and large contents of the hydroxyl groups, the I_3 value is determined by the hydroxyl groups to a larger extent, especially the increase observed in the I_3 values of Coals #2 and #3 (Table 7).

Table 10 Hydroxyl functional groups extracted from the FTIR spectra.

Sample	Untreated				ScCO ₂ treated			
	Peak index	Position, cm ⁻¹	Area percentage, %	Assignment	Peak index	Position, cm ⁻¹	Area percentage, %	Assignment
#1	1	3056.23	0.63	OH-N	1	3053.16	0.66	OH-N
	2	3122.35	1.15	OH-N	2	3135.19	1.74	OH-N
	3	3175.82	2.31	OH-N	3	3189.15	3.62	ring hydroxyl
	4	3223.47	4.58	ring hydroxyl	4	3240.43	5.85	ring hydroxyl
	5	3279.87	9.10	OH-O	5	3289.37	7.17	OH-O
	6	3330.76	8.39	OH-O	6	3332.16	8.01	OH-O
	7	3375.37	15.31	OH-O	7	3371.81	11.48	OH-O
	8	3421.15	21.76	OH-OH	8	3411.31	19.44	OH-OH
	9	3467.69	16.15	OH-OH	9	3457.94	13.94	OH-OH
	10	3501.60	6.09	OH- π	10	3500.91	11.53	OH- π
	11	3529.11	7.05	OH- π	11	3541.40	9.32	OH- π
	12	3551.53	3.23	OH- π	12	3572.10	3.77	OH- π
	13	3571.30	2.70	OH- π	13	3597.52	3.48	\cdot OH
	14	3590.99	1.54	\cdot OH	14			
#2	1	3070.43	1.34	OH-N	1	3068.42	0.59	OH-N
	2	3103.74	0.36	OH-N	2	3102.11	0.39	OH-N

3	3166.95	0.48	OH-N	3	3171.67	0.28	OH-N
4	3202.30	0.39	ring hydroxyl	4	3208.92	1.09	ring hydroxyl
5	3261.77	1.67	OH-O	5	3262.68	4.07	OH-O
6	3337.81	7.71	OH-O	6	3323.22	8.34	OH-O
7	3381.10	19.72	OH-O	7	3377.08	22.07	OH-O
8	3424.96	29.44	OH-OH	8	3425.72	24.01	OH-OH
9	3463.77	14.44	OH-OH	9	3472.08	18.78	OH-OH
10	3506.23	16.55	OH- π	10	3519.35	13.01	OH- π
11	3551.92	7.91	OH- π	11	3569.36	7.38	OH- π

1	3112.68	1.54	OH-N	1	3064.89	0.31	OH-N
2	3168.42	6.57	OH-N	2	3100.87	0.11	OH-N
3	3211.23	4.47	ring hydroxyl	3	3169.55	0.51	OH-N
4	3273.15	6.76	OH-O	4	3214.68	1.95	ring hydroxyl
5	3318.04	13.10	OH-O	5	3271.17	6.23	OH-O
#3	6	3379.48	7.46	6	3323.10	7.42	OH-O
7	3443.50	6.05	OH-OH	7	3371.30	16.57	OH-O
8	3493.96	6.12	OH-OH	8	3422.43	29.26	OH-OH
9	3535.15	0.51	OH- π	9	3472.34	16.76	OH-OH
10	3555.18	10.15	OH- π	10	3521.32	13.09	OH- π
11	3592.93	37.27	·OH	11	3573.79	7.80	OH- π

1	3054.17	1.27	OH-N	1	3082.43	0.14	OH-N
2	3123.53	0.25	OH-N	2	3130.15	0.33	OH-N
3	3167.43	0.46	OH-N	3	3176.63	0.86	OH-N
4	3215.03	1.82	ring hydroxyl	4	3219.48	2.31	ring hydroxyl
5	3264.64	5.62	OH-O	5	3272.46	6.48	OH-O
#4	6	3319.29	9.55	6	3325.77	9.60	OH-O
7	3371.57	15.39	OH-O	7	3374.59	16.74	OH-O
8	3424.68	26.04	OH-OH	8	3426.24	27.47	OH-OH
9	3474.56	15.67	OH-OH	9	3477.74	16.33	OH-OH
10	3525.28	16.34	OH- π	10	3527.59	13.24	OH- π
11	3576.90	7.59	OH- π	11	3577.51	6.50	OH- π

383 4. Discussion

384 4.1 Error analyses

385 (1) Sample preparation

386 Coal has great heterogeneity in its lithotypes, macerals, organic groups, and pore structure. The coal samples
387 used in the CO₂ adsorption test, FTIR, and XRD cannot be reacted with scCO₂ and H₂O and thus could not be
388 used for the CO₂ adsorption test, FTIR, and XRD because they may lead to errors in the results of the pore size
389 distribution and macromolecular structure of the coal samples. To minimize this error, 4-5 kg coal with the same

lithotypes and macerals were selected for each coal sample, which are clastics selected from a bright coal layer; this process is similar to that used in our previous paper [12]. Then, the samples were crushed and sieved into different grain sizes to ensure similar characteristics.

(2) Experimental method

Although micropores are difficult to capture completely, small angle X-ray scattering (SAXS) and small angle neutron scattering (SANS) are able to characterize micropores [38]. However, SAXS and SANS are not commonly available [38], and the CO₂ adsorption test is still the most commonly used and effective method of characterizing the micropore structure, although this method only covers micropores ranging from 0.30 to 1.50 nm in the coal samples.

Since the pore size distribution determined by the CO₂ adsorption test was used for the model fitting analysis, fitting errors are inevitable. In this study, the pore size distribution is estimated via DFT analysis, which is the most accepted analysis method for micropores. The calculation accuracy of the DFT analysis is determined largely by the geometry model of the pores assumed in the model, e.g., cylindrical pores, spherical pores, and slit-shaped pores. To minimize this error, a slit-shaped pore model was chosen to describe the micropores in coal because this model is generally accepted by scholars for pore size distribution analyses in coal. Therefore, the influence of the analysis method on the pore size distribution can be ignored.

4.2 Effects of chemical structures on micropores

Changes in the chemical structure of coal, including changes in the aromatic layers of crystallites and among the crystallites, can lead to changes in the volume and size distribution of micropores.

4.2.1 Effects of crystallites on micropores

The changes in the peak values distributed at 0.32 nm and 0.42 nm are consistent with the changes in d_{002} . The d_{002} values of the bituminous coal increase slightly, while the peaks located at 0.32 nm and 0.42 nm increase slightly. For example, after the ScCO₂ treatment, the high-volatile bituminous coal displays one additional small peak located at 0.36 nm. The d_{002} values of the semi-anthracite and anthracite coal decrease, while the peaks that occur at 0.32 nm and 0.42 nm disappear. Furthermore, the changes in the d_{002} values are consistent with the changes in the volume of micropores with widths <0.46 nm, and these quantities display a positive correlation (Fig. 5a). In conclusion, micropores with widths <0.46 nm are mainly provided by the inter-layer spacing of the aromatic layers and the changes in d_{002} after the ScCO₂ treatment determine the volume of micropores with widths <0.46 nm.

419 The heterocycles and non-condensed polynuclear aromatic compounds in the aromatic structure of bituminous
 420 coal have weak bond energy and are easily detached by the swelling caused by ScCO_2 . The falling off of
 421 heterocycles and non-condensed polynuclear aromatic compounds causes the rearrangement of the aromatic layers
 422 and loosens the internal structure of the aromatic crystallites in coal, and it finally increases the d_{002} values, which
 423 is the main reason for the increase in the volume of micropores with widths <0.46 nm in the bituminous coal.

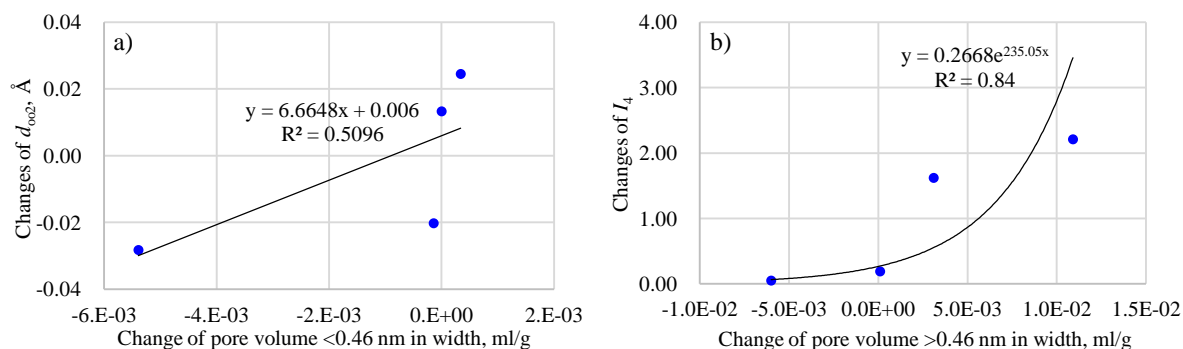


Fig. 5 Relationships between the changes in micropore volume and the d_{002} and I_4 values of the coal samples

426 Small amounts of the aromatic layers in the semi-anthracite and anthracite coal are connected by cross-links
 427 with low bond energies, e.g., $-\text{CH}_2-\text{CH}_2-$, $-\text{CH}_2-\text{O}-$, and $-\text{O}-$. The breaking of these cross-links caused by swelling
 428 results in the destruction and falling off of the aromatic layers. Compared with bituminous coal, the large amounts
 429 of residual aromatic layers are crosslinked with carbon-carbon bonds ($\text{C}_{\text{Ar}}-\text{C}_{\text{Ar}}$), and their arrangement is more
 430 regular and compact, resulting in small d_{002} values. In addition, the falling off of cross-links with low bond
 431 energies promotes the formation of $\text{C}_{\text{Ar}}-\text{C}_{\text{Ar}}$ between the aromatic layers through polyaddition reactions, resulting
 432 in a decrease in d_{002} . Taken together, the falling off of cross-links with low bond energies and the formation of
 433 $\text{C}_{\text{Ar}}-\text{C}_{\text{Ar}}$ cross-links between the aromatic layers cause the internal structure of the aromatic crystallites in the
 434 semi-anthracite and anthracite coal to become more regular and compact. This process is the main reason for the
 435 observed decrease in the volume of micropores with widths <0.46 nm in the semi-anthracite and anthracite coal
 436 after the ScCO_2 treatment.

4.2.2 Effects of macromolecules on micropores

438 Micropores with widths >0.46 nm are pores in the macromolecular structure of coal, and when they are formed
 439 by the directional arrangement of aromatic crystallites, they are known as intermolecular pores. The volumes of
 440 these pores are determined by the macromolecular structure of coal and influenced by the arrangement of aromatic
 441 crystallites, aliphatic hydrocarbon chain lengths, and low molecular compound contents. Therefore, the changes in
 442 the peaks located at 0.50 nm, 0.62 nm, 0.75 nm, and 0.82 nm are consistent with the changes in the arrangement

443 of aromatic crystallites, the aliphatic hydrocarbon chain lengths, and the low molecular compound contents (Fig.
444 5b).

445 The directional arrangement of aromatic crystallites may decrease the width and volume of the micropores by
446 squeezing the pores between the aromatic crystallites and making the macromolecular structure of the coal more
447 uniform [15]. Bituminous coal has a low condensation degree of aromatic crystallites, and under acidic conditions,
448 its aliphatic hydrocarbon branches and oxygen-containing groups can easily be broken to generate free radicals
449 and fallen off via the bond dissociation reaction of the alkyl groups and swelling, thereby resulting in the breaking
450 of aliphatic hydrocarbon chains. The broken aliphatic hydrocarbon chains connected to the aromatic rings are
451 likely to form new aliphatic hydrocarbon chains and aromatic compounds via addition reactions and polyaddition
452 reactions, resulting in an increase in the directional arrangement of the aromatic crystallites, which can be
453 improved by an increase in the I_2 , aromaticity (AR2), f_a , and condensation degree (DOC2) of the bituminous coal
454 (Table 7).

455 Similar to bituminous coal, the aliphatic hydrocarbon branches and oxygen-containing groups in the high-rank
456 coal easily break away and fall off through bond dissociation reactions and swelling caused by ScCO_2 . Compared
457 with bituminous coal, the broken aliphatic hydrocarbon chains can easily capture the alkyl groups generated by
458 the breaking of the low molecular compounds and cross-links, thereby increasing the aliphatic hydrocarbon chain
459 length and the aliphatic hydrocarbon contents through polyaddition reactions. The formation of new aliphatic
460 hydrocarbon chains in the high-rank coal loosen the macromolecular structure of coal and decrease the directional
461 arrangement of aromatic crystallites, which can be improved by a decrease in I_2 , AR2, f_a , and DOC2 (Table 7).

462 The increase in the length of the aliphatic hydrocarbon chain-connected aromatic crystallites leads to the
463 loosening of the macromolecular structure of coal. This process is the main reason for the increase in the width
464 and volume of the micropores, whereas the dissolution of aliphatic hydrocarbon branches and low molecular
465 compounds promotes the generation of micropores and increases the width and volume of the micropores.
466 However, these processes differ significantly in terms of their effects on coals of different ranks. As the coal rank
467 increases, the aliphatic hydrocarbon chain length increases exponentially due to ScCO_2 (Fig. 5b). The change in
468 the aliphatic hydrocarbon chain length varies from a slight increase for high-volatile bituminous coal (1.80 %), a
469 modest increase for low-volatile bituminous coal (7.92 %), a large increase for semi-anthracite coal (40.81 %) and
470 a significant increase for anthracite coal (374.58 %). This result shows that the influence of ScCO_2 on the aliphatic
471 hydrocarbon chain length is weak in the bituminous coal and is more significant in the anthracite coal.

472 In conclusion, the changes in the width and volume of micropores with widths >0.46 nm in coal are caused by
473 the directional arrangement of aromatic crystallites and an increase in the aliphatic hydrocarbon chain lengths.
474 The decrease in the micropore width and volume in the high-volatile bituminous coal caused by the directional
475 arrangement of aromatic crystallites dominates the increase in micropore width and volume caused by the increase
476 in the aliphatic hydrocarbon chain length, resulting in the observed decrease in micropore width and volume in the
477 high-volatile bituminous coal. Furthermore, the directional arrangement of aromatic crystallites has a weak
478 influence on the pore size distribution. The influence of the directional arrangement of aromatic crystallites on the
479 width and volume of the micropores in the low-volatile bituminous coal decreases and the influence of the
480 aliphatic hydrocarbon chain length on micropore width and volume increases significantly. These changes result
481 in an increasing trend in micropore width under the peaks located at 0.50 nm and 0.62 nm and no apparent change
482 in the micropore volume. The increases in the width and volume of micropores in the high-rank coal caused by the
483 increase in the aliphatic hydrocarbon chain length is dominant, and the decrease in the directional arrangement of
484 aromatic crystallites increases the width and volume of the micropores, resulting in an increase in the width and
485 volume of micropores with widths >0.46 nm. In addition, the increase in the aliphatic hydrocarbon chain length in
486 the anthracite coal is much larger than that of the semi-anthracite coal, and the increases in the width and volume
487 of the micropores in the anthracite coal are more evident, resulting in a greater increase in the width and volume
488 of the micropores in the anthracite coal.

489 5. Conclusions

490 In this paper, the changes in the micropore structure, chemical structure, and organic groups noted in four
491 samples of coal with different ranks observed after $\text{ScCO}_2\text{-H}_2\text{O}$ treatment and their mechanisms are discussed.
492 The following conclusions can be drawn from this study.

493 (1) After the ScCO_2 treatment, the volume of the micropores with widths <0.46 nm in the high-volatile
494 bituminous coal increases slightly. As the coal rank increases, the decrease in the volume of micropores with
495 widths <0.46 nm in the low-volatile bituminous, semi-anthracite, and anthracite coal becomes more pronounced.
496 Micropores with widths <0.46 nm are mainly produced by the inter-layer spacing of the aromatic layers. Swelling
497 caused by ScCO_2 detach heterocycles and non-condensed polynuclear aromatics in the high-volatile bituminous
498 coal and loosens the internal structure of the aromatic crystallites, resulting in a slight increase in the volume of
499 micropores with widths <0.46 nm. This swelling breaks the cross-links with low bond energies in the
500 semi-anthracite and anthracite coal, and polyaddition reactions form $\text{C}_{\text{Ar}}\text{-C}_{\text{Ar}}$ cross-links between the aromatic

501 layers, causing the internal structure of the aromatic crystallites to become more regular and compact. This
502 process is the main reason underlying the observed decrease in the volume of micropores with widths <0.46 nm.

503 (2) After the ScCO_2 treatment, the volume of micropores with widths >0.46 nm in the high-volatile bituminous
504 coal decrease, whereas these volume in the low-volatile bituminous, semi-anthracite, and anthracite coal increase.
505 Micropores with a width >0.46 nm are pores in the macromolecular structure of coal formed by the directional
506 arrangement of aromatic crystallites, and their volumes are determined by the arrangement of aromatic crystallites
507 and the aliphatic hydrocarbon chain length. The breaking of bonds in the high-volatile bituminous coal caused by
508 the falling off of aliphatic hydrocarbon branches and oxygen-containing groups forms new aliphatic hydrocarbon
509 chains and aromatic compounds via addition and polyaddition reactions. These reactions increase the directional
510 arrangement of aromatic crystallites, resulting in a decrease in the volume of micropores with widths >0.46 nm.
511 As the coal rank increases, the aliphatic hydrocarbon chain length gives priority to the macromolecular structure
512 of coal after the ScCO_2 treatment, resulting in an increase in the volume of micropores with widths >0.46 nm in
513 semi-anthracite and anthracite coal.

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